



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Koh Kimura, et al.

Examiner: Unassigned

Serial No: 09/975,490

Art Unit: 3739

Filed: October 11, 2001

Docket: 14991

For: PHYSIOLOGICAL TISSUE CLIPPING
APPARATUS, CLIPPING METHOD
AND CLIP UNIT MOUNTING METHOD

Dated: August 14, 2002

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Assistant Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

TECHNOLOGY CENTER R3700

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. German Patent Application No. DE 197 40 847 C1, dated August 12, 1999;
2. German Patent Application No. DE 195 34 320 C1, dated February 27, 1997;
3. German Patent Application No. DE 100 11 292 A1, dated September 14, 2000;
4. German Patent Application No. DE 693 19 704 T2, dated March 11, 1999;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on August 14, 2002.

Dated: August 14, 2002


Mishelle Mustafa

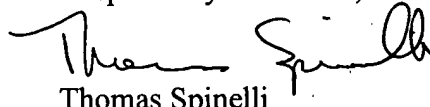
5. German Patent Application No. DE 197 07 382 A1, dated September 4, 1997;
6. German Patent Application No. 24 54 371, dated June 19, 1975;
7. Japanese Patent Application Publication No. 5-212043, dated August 24, 1993;
8. Japanese Patent Application Publication No. 4-102450, dated April 3, 1992;
9. Japanese Patent Application Publication No. 5-208020, dated August 20, 1993;
10. U.S. Patent No. 5,392,917, dated February 28, 1995, issued to Alpern et al.; and
11. U.S. Patent No. 5,893,878, dated April 13, 1999, issued to Pierce.

The references were cited in a Search Report received from the German Patent Office dated June 12, 2002. Applicants are submitting copies of the above-cited references, together with a copy of the Search Report and its English translation. The relevance of the above-identified references has been described in the Search Report.

Applicants are also submitting herewith a Statement Pursuant to 37 C.F.R.

§ 1.704(d). No fee is required.

Respectfully submitted,



Thomas Spinelli

Registration No.: 39,533

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STATEMENT PURSUANT TO 37 C.F.R. § 1.704(d) TECHNOLOGY CENTER R3700

Sir:

I hereby state that each item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

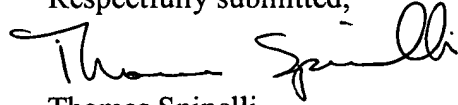
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Dated: August 14, 2002


Michelle Mustafa

Therefore, the Information Disclosure Statement submitted herewith cannot be considered a failure to engage in reasonable efforts to conclude prosecution of the application under paragraphs (c)(6), (c) (8), (c) (9), or (c) (10) of 37 C.F.R. § 1.704.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Thomas Spinelli", written in a cursive style.

Thomas Spinelli

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3739

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 14991	
In Re Application Of: Koh Kimura, et al.				
Serial No. 09/975,490	Filing Date October 11, 2001	Examiner Unassigned	Group Art Unit 3739	
Title: PHYSIOLOGICAL TISSUE CLIPPING APPARATUS, CLIPPING METHOD AND CLIP UNIT MOUNTING METHOD				
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Address to: Assistant Commissioner for Patents Washington, D.C. 20231				
AUG 23 2002 TECHNOLOGY CENTER R3700				
37 CFR 1.97(b)				
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.				
37 CFR 1.97(c)				
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:				
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);				
OR				
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).				

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.90(c))

Docket No.
14991

In Re Application: Koh Kimura, et al.

AUG 20 2002

Serial No.

09/975,490

Filing Date

October 11, 2001

Examiner

Unassigned

Group Art Unit

3739

PHYSIOLOGICAL TISSUE CLIPPING APPARATUS, CLIPPING METHOD AND CLIP UNIT

METHOD

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(b)).

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☐ A check in the amount of _____ is attached.

☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.

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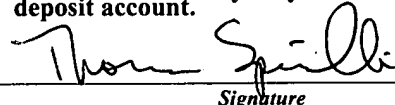
I certify that this document and fee is being deposited 8/14/2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Mishelle Mustafa

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.



Signature

Dated: August 14, 2002

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cc: